

Efficiency/Effectiveness Trade-offs in Learning to Rank

Tutorial @ ECML PKDD 2018

<http://learningtorank.isti.cnr.it/>

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The Ranking Problem

Ranking is at the core of several IR Tasks:

- Document Ranking in Web Search
- Ads Ranking in Web Advertising
- Query suggestion & completion
- Product Recommendation
- Song Recommendation
- ...



The Ranking Problem

Definition:

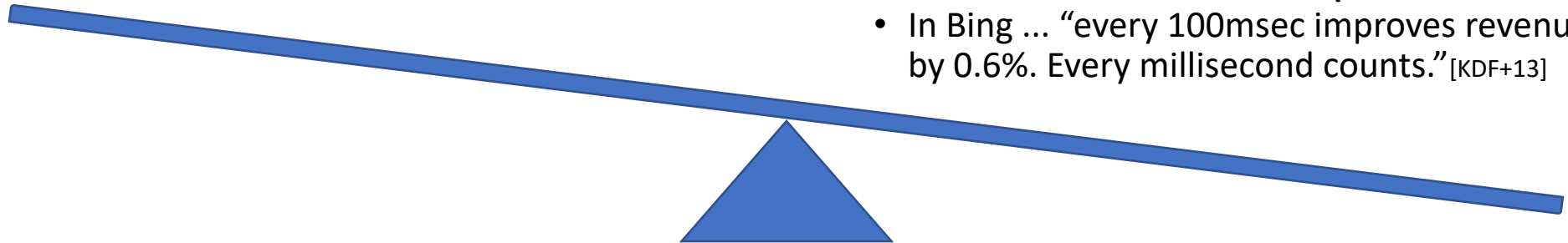
Given a query q and a set of objects/documents D , to rank D so as to maximize users' satisfaction Q .

Goal #1: Effectiveness

- Maximize Q !
 - but how to measure Q ?

Goal #2: Efficiency

- Make sure the ranking process is feasible and not too expensive
 - In Bing ... “every 100msec improves revenue by 0.6%. Every millisecond counts.” [KDF+13]



Agenda

1. Introduction to Learning to Rank (LtR)

- Background, algorithms, sources of cost in LtR, multi-stage ranking

2. Dealing with the Efficiency/Effectiveness trade-off

- Feature Selection, Enhanced Learning, Approximate scoring, Fast Scoring

3. Hands-on I

- Software, data and publicly available tools
- Traversing Regression Forests, SoA tools and analysis

4. Hands-on II

- Training models, Pruning strategies, Efficient scoring

At the end of the day you'll be able to train a high quality ranking model, and to exploit SoA tools and techniques to ***reduce its computational cost up to 18x !***

Document Representations and Ranking

Document Representations

A document is a multi-set of words

A document may have fields, it can be split into zones, it can be enriched with external text data (e.g., anchors)

Additional information may be useful, such as In-Links, Out-Links, PageRank, # clicks, social links, etc.

Hundred signals in public LtR Datasets

Ranking Functions

Term-weighting [SJ72]

Vector Space Model [SB88]

BM25 [JWR00], BM25f [RZT04]

Language Modeling [PC98]

Linear Combination of features [MC07]

How to combine hundreds of signals?

[SJ72] Karen Sparck Jones. **A statistical interpretation of term specificity and its application in retrieval**. Journal of documentation, 28(1):11–21, 1972.

[SB88] Gerard Salton and Christopher Buckley. **Term-weighting approaches in automatic text retrieval**. Information processing & management, 24(5):513–523, 1988.

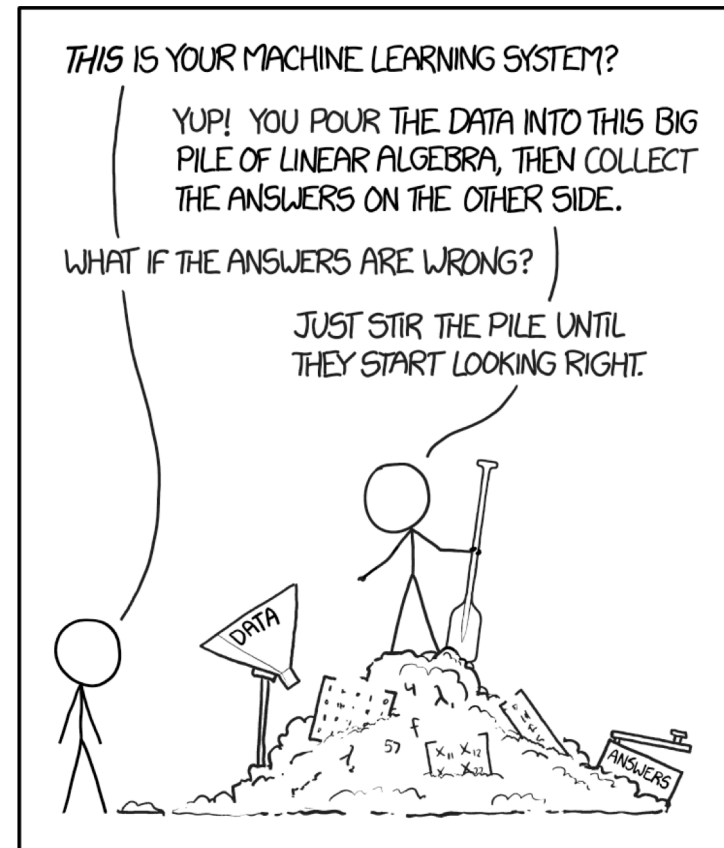
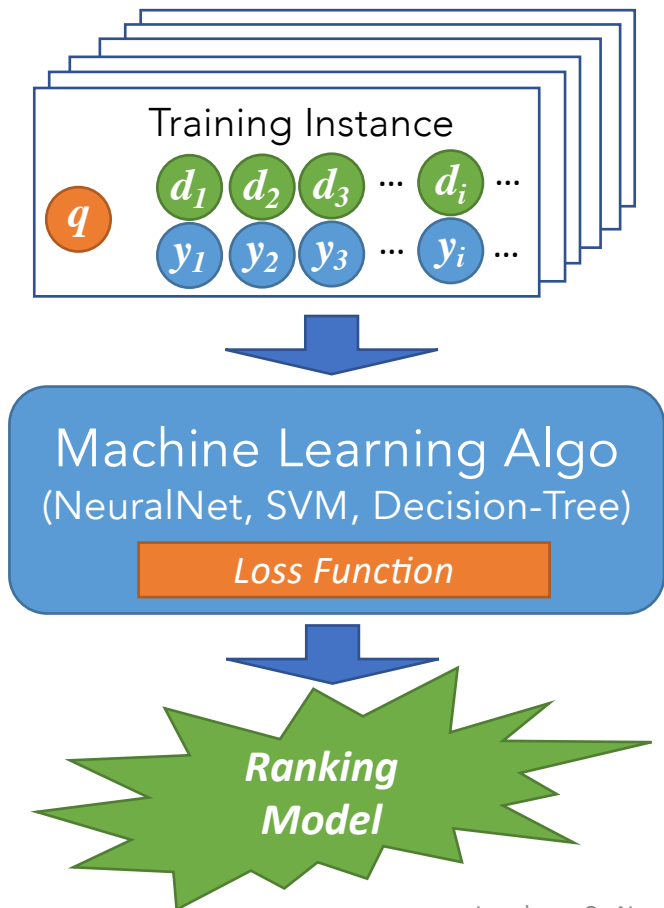
[JWR00] K Sparck Jones, Steve Walker, and Stephen E. Robertson. **A probabilistic model of information retrieval: development and comparative experiments**. Information processing & management, 36(6):809–840, 2000

[RZT04] Stephen Robertson, Hugo Zaragoza, and Michael Taylor. **Simple bm25 extension to multiple weighted fields**. In Proceedings of the thirteenth ACM international conference on Information and knowledge management, pages 42–49. ACM, 2004.

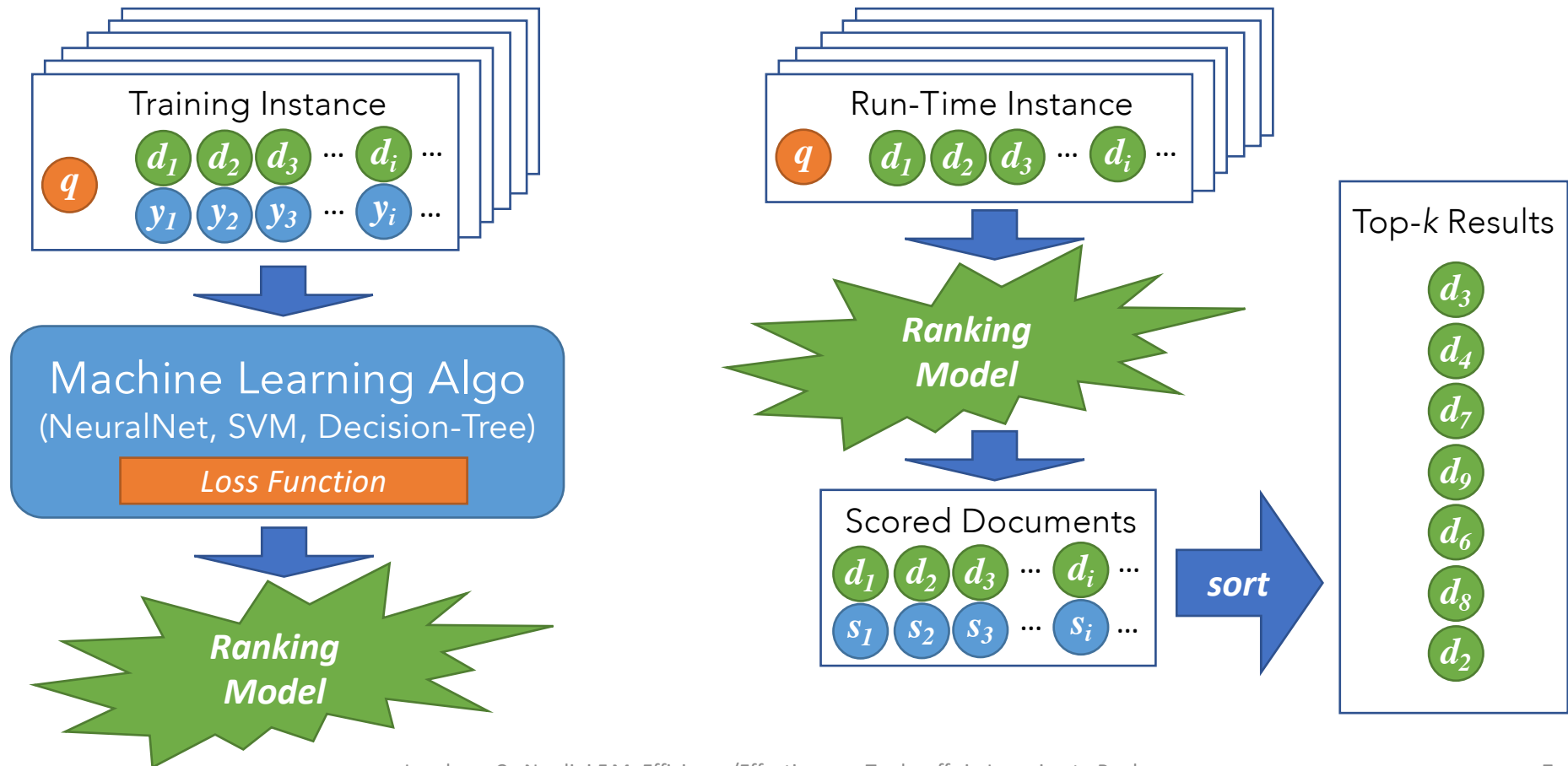
[PC98] Jay M Ponte and W Bruce Croft. **A language modeling approach to information retrieval**. In Proceedings of the 21st annual international ACM SIGIR conference on Research and development in information retrieval, pages 275–281. ACM, 1998.

[MC07] Donald Metzler and W Bruce Croft. **Linear feature-based models for information retrieval**. Information Retrieval, 10(3):257–274, 2007.

Ranking as a Supervised Learning Task




Ranking as a Supervised Learning Task



Query/Document Representation

Useful signals

-  • Link Analysis [H+00]
- Term proximity [RS03]
- Query classification [BSD10]
- Query intent mining [JLN16, LOP+13]
- Finding entities documents [MW08] and in queries [BOM15]
- Document recency [DZK+10]
- Distributed representations of words and their compositionality [MSC+13]
- Convolutional neural networks [SHG+14]
-

Relevance Labels Generation

• *Explicit Feedback*

- Thousands of Search Quality Raters
- Absolute vs. Relative Judgments [CBCD08]

• *Implicit Feedback*

- clicks/query chains [JGP+05, Joa02, RJ05]
- Unbiased learning-to-rank [JSS17]

• *Minimize annotation cost*

- Active Learning [LCZ+10]
- Deep versus Shallow labelling [YR09]

Evaluation Measures for Ranking

Rank	Top 10 Retrieved Documents	Binary Relevance Labels	Graded Relevance Labels
1	d_3	y_3 ✓	y_3 ☆ ☆ ☆ ☆
2	d_4	y_4 ✗	y_4 ✗
3	d_7	y_7 ✓	y_7 ☆
4	d_9	y_9 ✗	y_9 ✗
5	d_6	y_6 ✗	y_6 ✗
6	d_8	y_8 ✗	y_8 ✗
7	d_2	y_2 ✓	y_2 ☆ ☆ ☆
8	d_5	y_5 ✗	y_5 ✗
9	d_1	y_1 ✗	y_1 ✗
10	d_{10}	y_{10} ✗	y_{10} ✗

Precision @10

$$P@10 = \frac{3}{10}$$

Account for labels:

$$Q@10 = 4 + 1 + 3$$

Account for labels and ranks:

$$Q@10 = \frac{4}{1} + \frac{1}{3} + \frac{3}{7}$$

Evaluation Measures for Ranking

Many are in the form:

$$Q@k = \sum_{\text{ranks } r=1 \dots k} \text{Gain}(d^r) \cdot \text{Discount}(r)$$

- (N)DCG [JK00]: $\text{Gain}(d) = 2^y - 1$ $\text{Discount}(r) = \frac{1}{\log(r + 1)}$
- RBP [MZ08]: $\text{Gain}(d) = \mathbb{I}(y)$ $\text{Discount}(r) = (1 - p)p^{r-1}$
- ERR [CMZG09]: $\text{Gain}(d) = R_i \prod_{j=1}^{i-1} (1 - R_j)$ with $R_i = (2^y - 1)/2^{y_{max}}$ $\text{Discount}(r) = 1/r$

Do they match User satisfaction ?

- ERR correlates better with user satisfaction (clicks and editorials) [CMZG09]
- Results Interleaving to compare two rankings [CJRY12]
 - “major revisions of the web search rankers [Bing] ... The differences between these rankers involve changes of over *half a percentage point*, in absolute terms, of NDCG”

[JK00] Kalervo Järvelin and Jaana Kekäläinen. **IR evaluation methods for retrieving highly relevant documents**. In Proceedings of the 23rd annual international ACM SIGIR conference on Research and development in information retrieval, pages 41–48. ACM, 2000.

[MZ08] Alistair Moffat and Justin Zobel. **Rank-biased precision for measurement of retrieval effectiveness**. ACM Transactions on Information Systems (TOIS), 27(1):2, 2008.

[CMZG09] Olivier Chapelle, Donald Metzler, Ya Zhang, and Pierre Grinspan. **Expected reciprocal rank for graded relevance**. In Proceedings of the 18th ACM conference on Information and knowledge management, pages 621–630. ACM, 2009.

[CJRY12] Olivier Chapelle, Thorsten Joachims, Filip Radlinski, and Yisong Yue. **Large-scale validation and analysis of interleaved search evaluation**. ACM Transactions on Information Systems (TOIS), 30(1):6, 2012.

Is it an easy or difficult task?

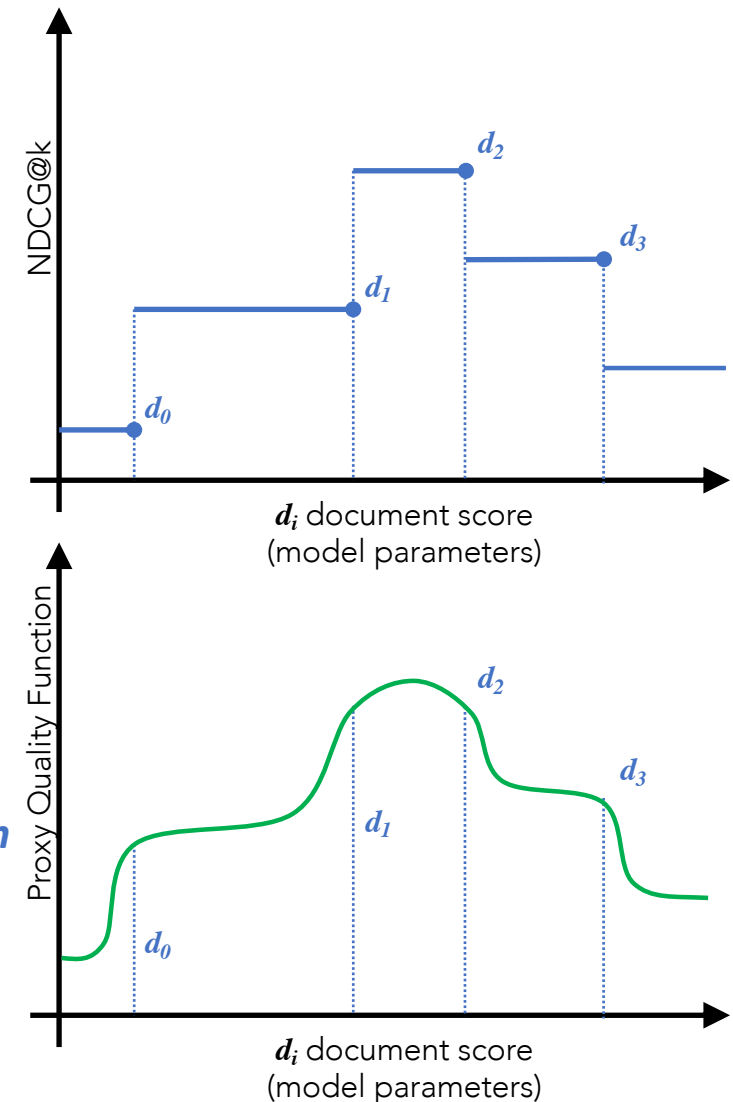
Gradient descent cannot be applied directly

Rank-based measures (NDCG, ERR, MAP, ...) depend on *documents sorted order*

- *gradient is either 0* (sorted order did not change) or *undefined* (discontinuity)

Solution: we need a proxy Loss function

- it should be *differentiable*
- and with a *similar behavior of the original cost function*



Point-Wise Algorithms

Each document is considered independently from the others

- No information about other candidates for the same query is used at training time

A different cost-function is optimized

- Several approaches: Regression, Multi-Class Classification, Ordinal regression, ... [Liu11]

Among Regression-Based:

Gradient Boosting Regression Trees [Fri01]

- **Sum of Squared Errors (SSE)** is minimized



[Liu11] Tie-Yan Liu. **Learning to rank for information retrieval**, 2011. Springer.

[Fri01] Jerome H Friedman. **Greedy function approximation: a gradient boosting machine**. Annals of statistics, pages 1189–1232, 2001.

Gradient Boosting Regression Trees

Iterative algorithm: $F(d) = \sum_i f_i(d)$ Weak Learner

Each f_i is regarded as a step in the best optimization direction, i.e., a **steepest descent step**:

by line-search $f_i(d) = -\rho_i g_i(d)$ negative gradient

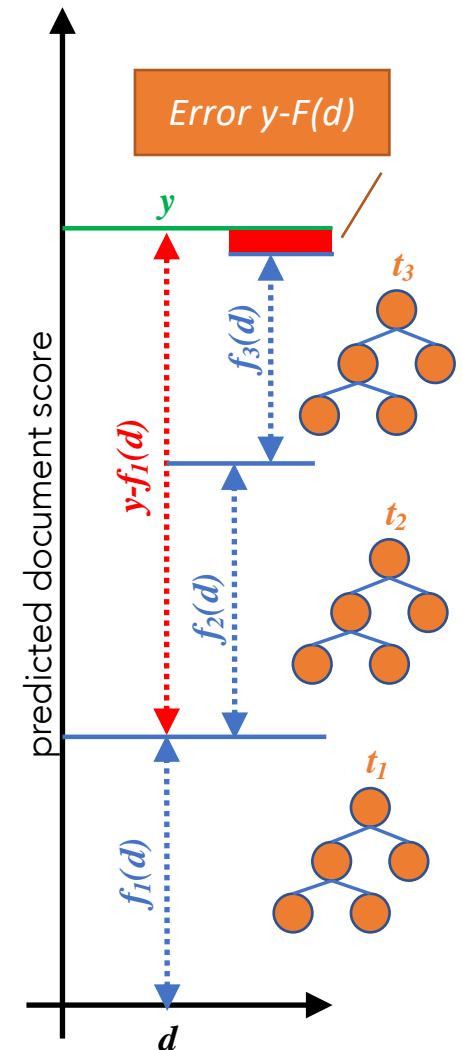
$$-g_i(d) = - \left[\frac{\partial L(y, f(d))}{\partial f(d)} \right]_{f=\sum_{j<i} f_j}$$

Given $L = SSE/2$:

$$-\frac{\partial [\frac{1}{2} SSE(y, f(d))]}{\partial f(d)} = -\frac{\partial [\frac{1}{2} \sum (y - f(d))^2]}{\partial f(d)} = y - f(d)$$

pseudo-response

Gradient g_i is approximated by a Regression Tree t_i



Pair-wise Algorithms: RankNet_[BSR+05]

Documents are considered in pairs

Estimated probability that d_i is better than d_j is:

$$P_{ij} = \frac{e^{o_{ij}}}{1 + e^{o_{ij}}}$$

$$o_{ij} = F(d_i) - F(d_j)$$

Let Q_{ij} be the true probability, the **Cross Entropy Loss** is:

$$C_{ij} = -Q_{ij} \log P_{ij} - (1 - Q_{ij}) \log(1 - P_{ij})$$

We consider **only pairs where d_i is better than d_j , i.e., $y_i > y_j$** :

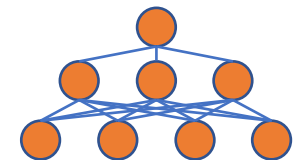
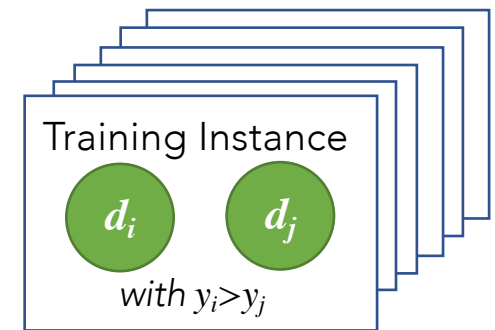
If $o_{ij} \rightarrow +\infty$
(i.e., correctly ordered)
 $C_{ij} \rightarrow 0$

$$C_{ij} = \log(1 + e^{-o_{ij}})$$

If $o_{ij} \rightarrow -\infty$
(i.e., mis-ordered)
 $C_{ij} \rightarrow +\infty$

This is **differentiable**: used to train a **Neural Network with back-propagation**.

Other approaches: Ranking-SVM_[Joa02], RankBoost_[FISS03], ...



[BSR+05] Chris Burges, Tal Shaked, Erin Renshaw, Ari Lazier, Matt Deeds, Nicole Hamilton, and Greg Hullender. **Learning to rank using gradient descent**. In Proceedings of the 22nd international conference on Machine learning, pages 89–96. ACM, 2005.

[Joa02] Thorsten Joachims. **Optimizing search engines using clickthrough data**. In Proceedings of the eighth ACM SIGKDD international conference on Knowledge discovery and data mining, pages 133–142. ACM, 2002.

[FISS03] Yoav Freund, Raj Iyer, Robert E Schapire, and Yoram Singer. **An efficient boosting algorithm for combining preferences**. Journal of machine learning research, 4(Nov):933–969, 2003.

Pair-wise Algorithms

RankNet performs better than other pairwise algorithms

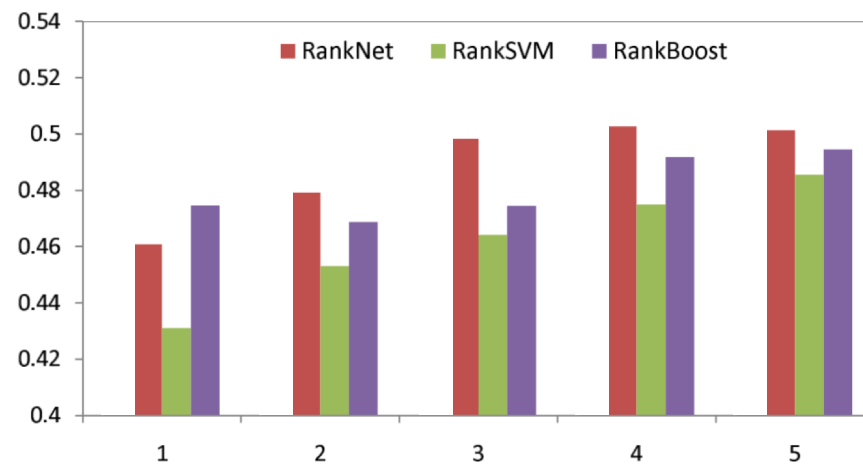


Figure 1. Ranking accuracies in terms of NDCG@n on TREC

RankNet cost is not nicely correlated with NDCG quality

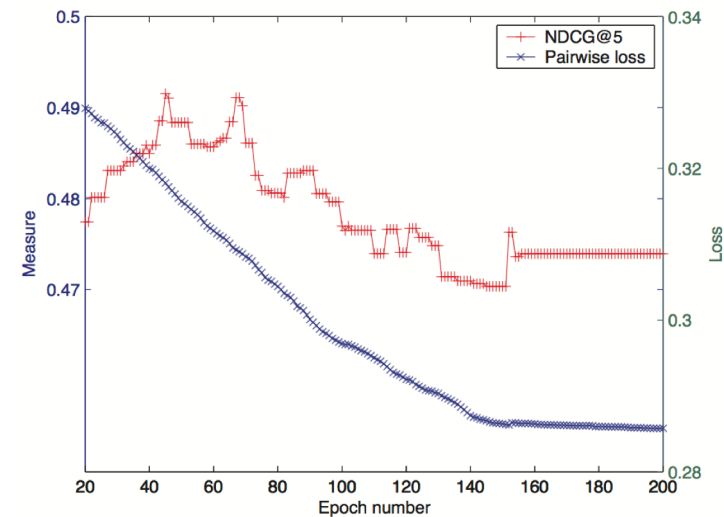


Figure 4. Pairwise loss v.s. NDCG@5 in RankNet

List-wise Algorithms: LambdaMart_[Bur10]

Recall: **GBRT** requires a gradient g_i for every d_i

First: **estimate the gradient comparing to d_j** , with $y_i > y_j$:

$$\lambda_{ij} = \frac{1}{1 + e^{o_{ij}}} |\Delta NDCG| = -\lambda_{ji}$$

derivative of the negative RankNet cost

Δ Quality after swapping d_i with d_j

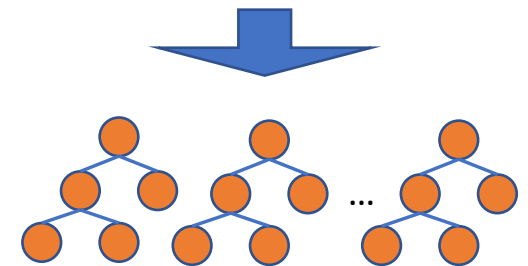
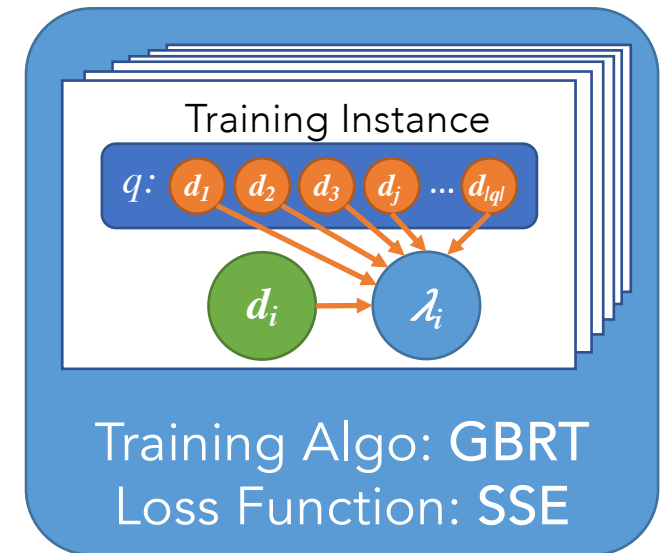
If $o_{ij} \rightarrow +\infty$ (i.e., correctly ordered)
 $\lambda_{ij} \rightarrow 0$

If $o_{ij} \rightarrow -\infty$ (i.e., mis-ordered)
 $\lambda_{ij} \rightarrow |\Delta NDCG|$

Top documents are more relevant !

Then: **estimate the gradient comparing to every other d_j for q**

$$g_i = \lambda_i = \sum_{y_i > y_j} \lambda_{ij} - \sum_{y_i < y_j} \lambda_{ij}$$



List-wise Algorithms: some results

- NDCG@10 on public LtR Datasets

Algorithm	MSN10K	Y!S1	Y!S2	Istella-S
RankingSVM	0.4012	0.7238	0.7306	N/A
GBRT	0.4602	0.7555	0.7620	0.7313
LambdaMART	0.4618	0.7529	0.7531	0.7537

Other approaches: ListNet/ListMLE[CQL+07], Approximate Rank[QLL10], SVM AP[YFRJ07], RankGP[YLKY07], others ...

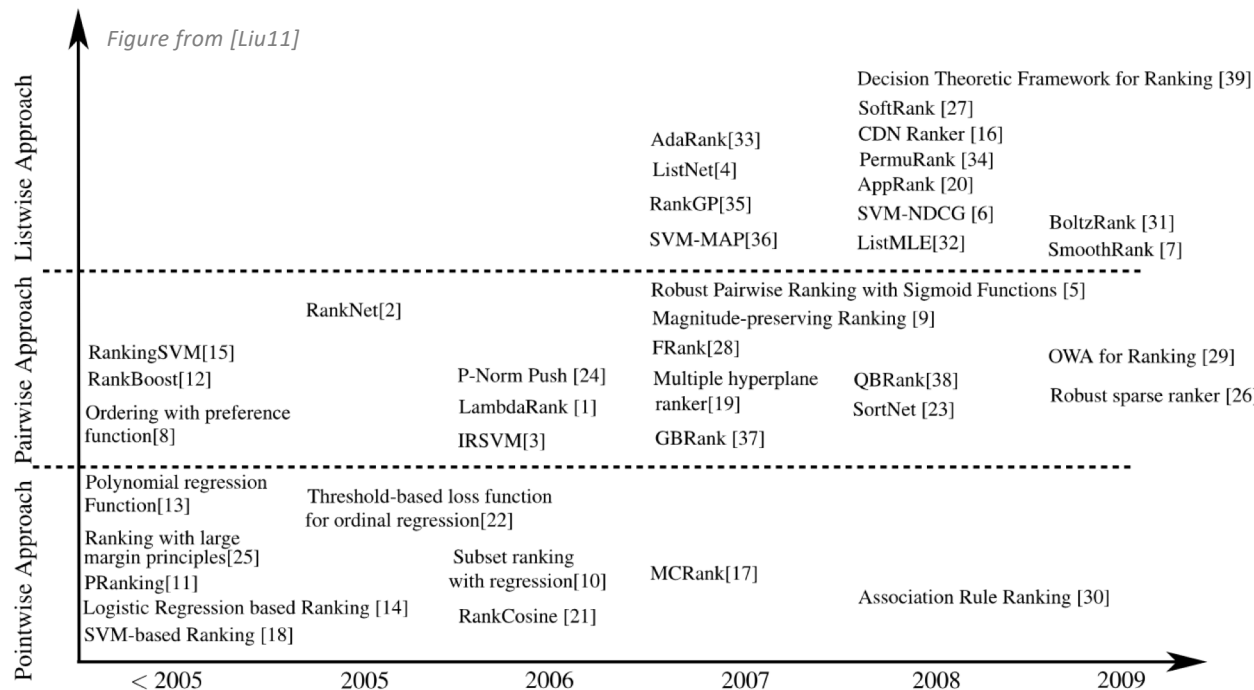
[CQL+ 07] Zhe Cao, Tao Qin, Tie-Yan Liu, Ming-Feng Tsai, and Hang Li. **Learning to rank: from pairwise approach to listwise approach**. In Proceedings of the 24th international conference on Machine learning, pages 129–136. ACM, 2007.

[QLL10] Tao Qin, Tie-Yan Liu, and Hang Li. **A general approximation framework for direct optimization of information retrieval measures**. Information retrieval, 13(4):375–397, 2010.

[YFRJ08] Yisong Yue, Thomas Finley, Filip Radlinski, and Thorsten Joachims. **A support vector method for optimizing average precision**. In Proceedings of the 30th annual international ACM SIGIR conference on Research and development in information retrieval, pages 271– 278. ACM, 2007.

[YLKY07] Jen-Yuan Yeh, Jung-Yi Lin, Hao-Ren Ke, and Wei-Pang Yang. **Learning to rank for information retrieval using genetic programming**. In Proceedings of SIGIR 2007 Workshop on Learning to Rank for Information Retrieval (LR4IR 2007), 2007.

Learning to Rank Algorithms



- New approaches to **optimize IR measures**:
 - DirectRank[XLL+08], LambdaMart[Bur10], BLMart[GCL11], SSLambdaMART[SY11], CoList[GY14], LogisticRank[YHT+16], ...
See [Liu11][TBH15].
- **Deep Learning** to improve query-document matching:
 - Conv.DNN[SM15], DSSM[HHG+13], Dual-Embedding[MNCC16], Local and Distributed repr.[MDC17], Weak Supervision[DZS+17], Neural Click Model[BMdRS16], ...
- **On-line learning**:
 - Multi-armed bandits [RKJ08], Dueling bandits [YJ09], K-armed dueling bandits[YBKJ12], online learning[HSWdR13][HWdR13], ...

[Liu11] Tie-Yan Liu. **Learning to rank for information retrieval**, 2011. Springer.

[TBH15] Niek Tax, Sander Bockting, and Djoerd Hiemstra. **A cross-benchmark comparison of 87 learning to rank methods**. Information processing & management, 51(6):757–772, 2015.

In this tutorial we focus on GBRTs

facebook

Ads Click Prediction: GBDT as a *feature extractor*, then LogReg [HPJ+14]



Microsoft

Ads Click Prediction: refine/*boost NN* output [LDG+17]

amazon

Product Ranking: 100 GBDTs with pairwise ranking [SCP16]

YAHOO!

Document Ranking: GBDT named LogisticRank [YHT+16]

Yandex

Ranking, forecasting & recommendations: *Oblivious GBRT*

[HPJ+14] Xinran He, Junfeng Pan, Ou Jin, Tianbing Xu, Bo Liu, Tao Xu, Yanxin Shi, Antoine Atallah, Ralf Herbrich, Stuart Bowers, et al. **Practical lessons from predicting clicks on ads at facebook**. In Proceedings of the Eighth International Workshop on Data Mining for Online Advertising, pages 1–9. ACM, 2014.

[LDG+17] Xiaoliang Ling, Weiwei Deng, Chen Gu, Hucheng Zhou, Cui Li, and Feng Sun. **Model ensemble for click prediction in bing search ads**. In Proceedings of the 26th International Conference on World Wide Web Companion, pages 689–698. International World Wide Web Conferences Steering Committee, 2017.

[SCP16] Daria Sorokina and Erick Cantú-Paz. **Amazon search: The joy of ranking products**. In Proceedings of the 39th International ACM SIGIR conference on Research and Development in Information Retrieval, pages 459–460. ACM, 2016.

[YHT+16] Dawei Yin, Yuening Hu, Jiliang Tang, Tim Daly, Mianwei Zhou, Hua Ouyang, Jianhui Chen, Changsung Kang, Hongbo Deng, Chikashi Nobata, et al. **Ranking relevance in yahoo search**. In Proceedings of the 22nd ACM SIGKDD International Conference on Knowledge Discovery and Data Mining, pages 323–332. ACM, 2016.

In this tutorial we focus on GBRTs

- Successful in several **Data Challenges**:
 - Winner of the **Yahoo! LtR Challenge**: combination of 12 ranking models, 8 of which were Lambda-MART models, each having up to 3,000 trees [CC11]
 - According to the 2015 statistics, GBRTs were adopted by the majority of the winning solutions among the **Kaggle** competitions, even more than the popular deep networks, and all the top-10 teams qualified in the **KDDCup 2015** used GBRT-based algorithms [CG16]
- New interesting **open-source implementations**:
 - XGBoost, LightGBM by **Microsoft**, CatBoost by **Yandex**
- **Pluggable** within **Apache Lucene/Solr**

[CC11] Olivier Chapelle and Yi Chang. **Yahoo! learning to rank challenge overview**. In Proceedings of the Learning to Rank Challenge, pages 1–24, 2011.

[CG16] Tianqi Chen and Carlos Guestrin. **Xgboost: A scalable tree boosting system**. In Proceedings of the 22Nd ACM SIGKDD International Conference on Knowledge Discovery and Data Mining, KDD '16, pages 785–794, New York, NY, USA, 2016. ACM.

Single-Stage Ranking



Requires to apply the learnt *model* to *every matching document*, and to generate the required *features*.

Not feasible!

We have at least **3** *efficiency vs. effectiveness trade-offs*.

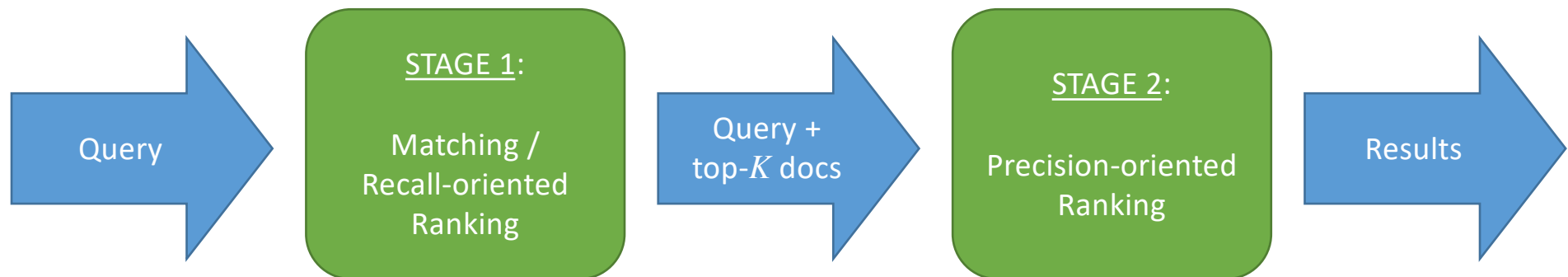
Single-Stage Ranking



① *Feature Computation Trade-off*

- Computationally **Expensive** & highly discriminative features vs. computationally **Cheap** & slightly discriminative features

Two-Stage Ranking



Expensive features are computed only for the *top-K candidate documents* passing the first stage.
How to chose K ?

② *Number of Matching Candidates Trade-off* :

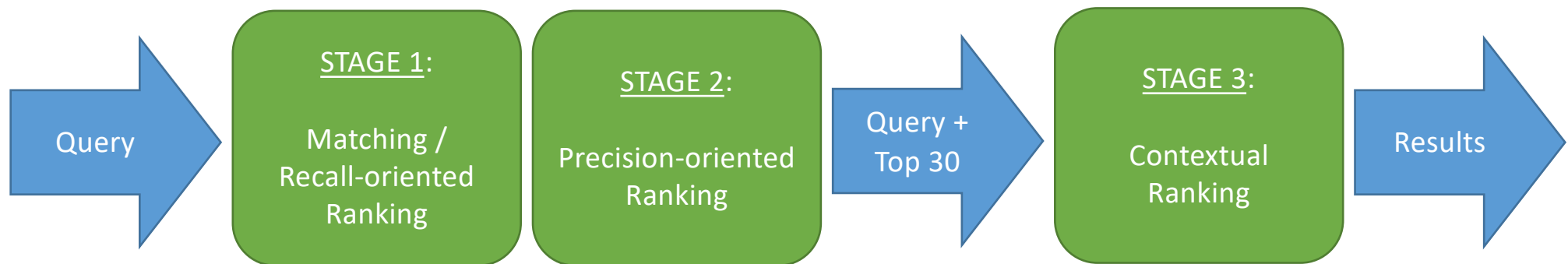
- a *Large set* of candidates is **Expensive** and produces *high-quality* results vs. a *Small set* of candidates is **Cheap** and produces *low-quality* results
 - 1000 documents [DBC13] (Gov2, ClueWeb09-B collections)
 - 1500-2000 documents [MSO13] (ClueWeb09-B)
 - “hundreds of thousands” (over “hundreds of machines”) [YHT+16a]

[DBC13] Van Dang, Michael Bendersky, and W Bruce Croft. **Two-stage learning to rank for information retrieval**. In Advances in Information Retrieval, pages 423–434. Springer, 2013.

[MSO13] Craig Macdonald, Rodrygo LT Santos, and Iadh Ounis. **The whens and hows of learning to rank for web search**. Information Retrieval, 16(5):584–628, 2013.

[YHT+16] Dawei Yin, Yuening Hu, Jiliang Tang, Tim Daly, Mianwei Zhou, Hua Ouyang, Jianhui Chen, Changsung Kang, Hongbo Deng, Chikashi Nobata, et al. **Ranking relevance in yahoo search**. In Proceedings of the 22nd ACM SIGKDD International Conference on Knowledge Discovery and Data Mining, pages 323–332. ACM, 2016.

Multi-Stage Ranking



- 3 stages [YHT+16]: *Contextual features* are considered in the 3rd stage
 - Contextual => *about the current result set*
 - Rank based on specific features, Mean, Variance, Standardized features (see also [LNO+15a]), topic model similarity
 - First two stages are executed at each serving node
- *N* stages [CGBC17]: Which *model* in each stage? Which *features*? How many *documents*?
 - About *200 configurations tested*, best results with N=3 stages, 2500 and 700 docs between stages
- Predict the best k for STAGE 1 [CCL16], and the best processing pipeline [MCB+18]
- A proper methodology/algorithm for choosing the best configuration is still missing.

[YHT+16] Dawei Yin, Yuening Hu, Jiliang Tang et al. **Ranking relevance in yahoo search**. In Proceedings of the 22nd ACM SIGKDD. ACM, 2016.

[CGBC17] Ruey-Cheng Chen, Luke Gallagher, Roi Blanco, and J. Shane Culpepper. **Efficient cost-aware cascade ranking in multi-stage retrieval**. In Proceedings of ACM SIGIR ACM, 2017.

[MCB+18] Mackenzie, J., Culpepper, J. S., Blanco, R., et al. **Query Driven Algorithm Selection in Early Stage Retrieval**. In Proceedings of WSDM. ACM, 2018.

[CCL16] Culpepper, J. S., Clarke, C. L., & Lin, J. **Dynamic cutoff prediction in multi-stage retrieval systems**. In Proceedings of the 21st Australasian Document Computing Symposium. ACM, 2016.

Multi-Stage Ranking

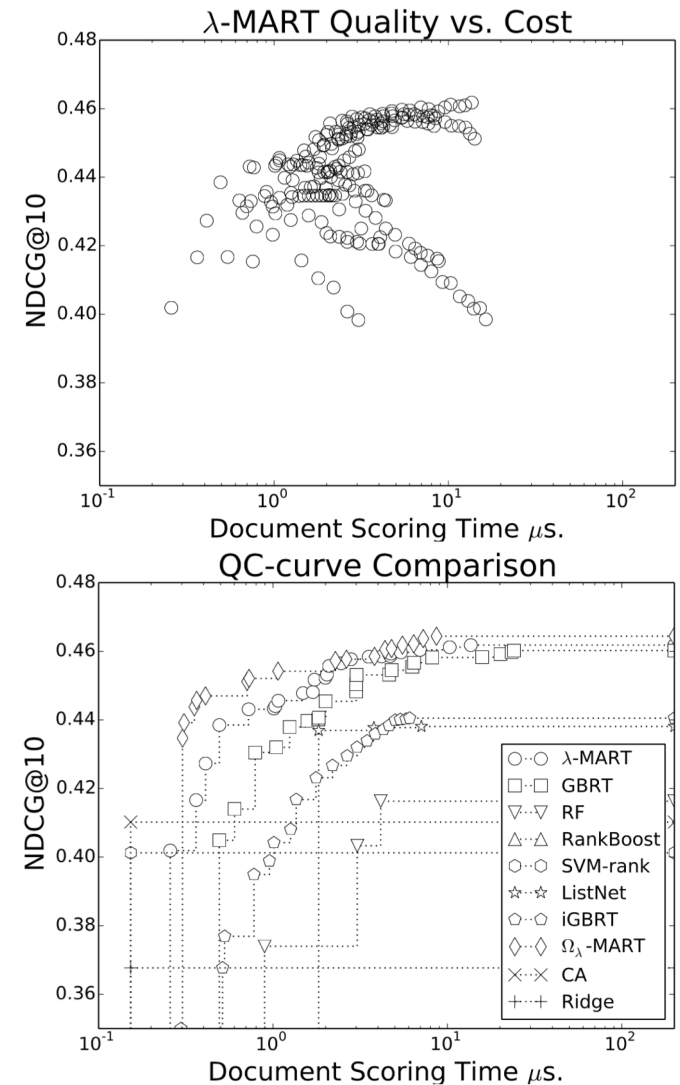


③ *Model Complexity Trade-off* :

- **Complex** & **Slow** high-quality vs. **Simple** & **Fast** low-quality models:
 - **Complex** as: Random Forest, GBRT, Initialized GBRT, Lambda-MART,
 - **Simple** as: Coordinate Ascent, Ridge Regression, SVM-Rank, RankBoost
 - **In-between** as: Oblivious Lambda-Mart, ListNet

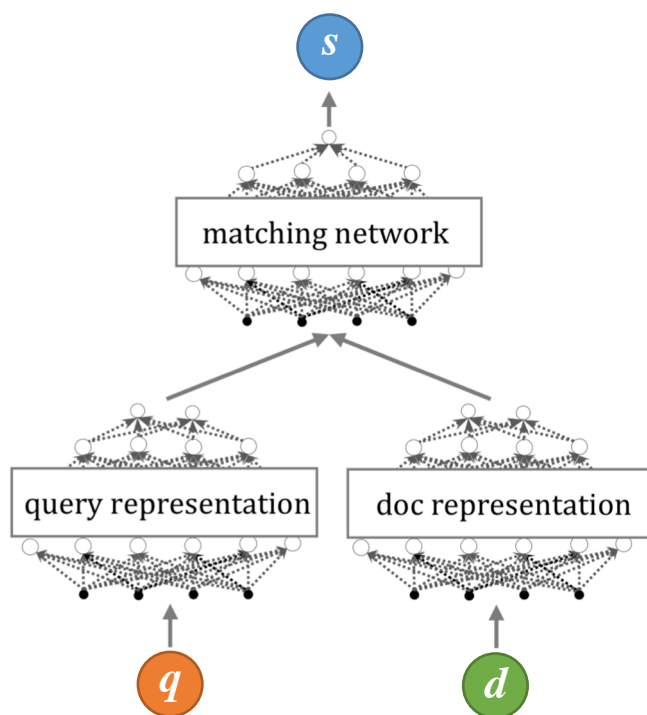
Model Complexity Trade-off

- Comparison on varying training parameters [CLN+16]:
 - #trees, #leaves, learning rate, etc.
- **Complex models** achieve significantly **higher quality**
- Best model depends on **time budget**
- **Today is about Model Complexity Trade-off!**



...some recent advances...

Learning to Rank with Deep Neural Networks



- Issues: *Multiple Fields / Multi-instance Fields*
- Proposed solution:
 - *Instance-level DNN*:
 - Layers: 3-gram hashing, embedding, 1D convolution, pooling, dense
 - *Per-field tunable*
 - Multi-instance aggregation by averaging
 - Multi-field aggregation concatenation
- Pair-wise training, *non-public Bing data*

Model	NDCG@1	NDCG@10
BM25F	0.4431	0.6020
LTR	0.4888	0.6341
NRM-F	0.4906*	0.6380*



Are ANN faster than Regression Forests?

- **Rationale:**
 - Trust accuracy of *LambdaMART*, use a “similar” ANN at run time
- **Methodology:**
 - *Ranking Distillation* [TW18]
 - **Train a ANN that approximates the output of *LambdaMART***
 - ... rather than the training labels!
 - Enrich the dataset with points around discontinuities, i.e., trees’ split points
 - Networks used: Fully connected 4 layers 2000x500x500x100 and 2 layers 500x100

Method	# Layers	MSN30k MAP	GOV2 MAP
Regression Forest	-	0.6004	0.2995
N_{approx}	4	0.5950	0.2995
N_{approx}	2	0.5955	0.3007
$N_{\text{relevance}}$	4	0.5639*	0.2531*

Impl	Source	1000 Trees		20,000 Trees	
		8 Leaves	64 Leaves	8 Leaves	64 Leaves
Generated C++ for Forest	If-Then-Else	8.2-10.3	55.9-55.1	709.0-772.2	4462.0-4809.0
Tensorflow-CPU 4-layer	<i>N</i>				51.1-53.3
Tensorflow-CPU 2-layer	<i>N</i>				5.83-7.04
PyTorch GPU 4-layer	<i>N</i>				0.976-1.01
PyTorch GPU 2-layer	<i>N</i>				0.323-0.335

[CF+18] Cohen, D., Foley, J., Zamani, H., Allan, J., & Croft, W. B. (2018, June). **Universal Approximation Functions for Fast Learning to Rank: Replacing Expensive Regression Forests with Simple Feed-Forward Networks**. In The 41st International ACM SIGIR Conference on Research & Development in Information Retrieval (pp. 1017-1020). ACM.

[TW18] Tang, J., & Wang, K. (2018, July). Ranking Distillation: Learning Compact Ranking Models With High Performance for Recommender System. In Proceedings of the 24th ACM SIGKDD International Conference on Knowledge Discovery & Data Mining (pp. 2289-2298). ACM.

NEW
2018

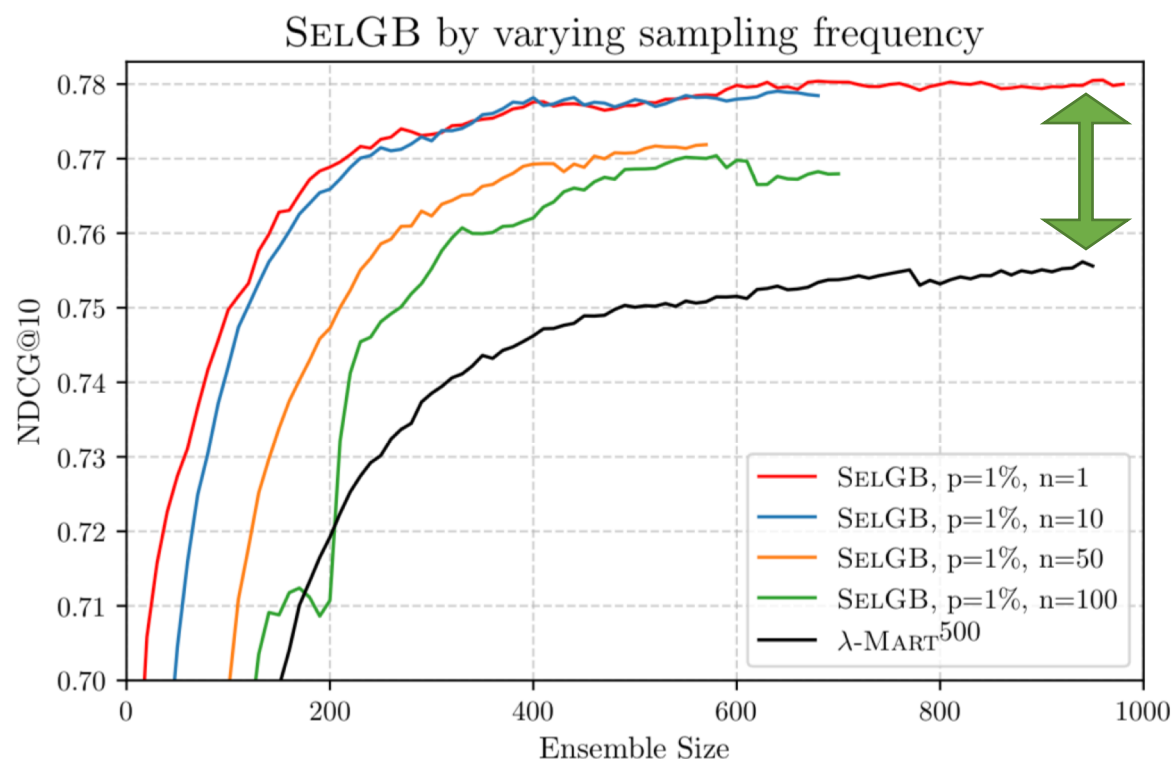
Dealing with large and unbalanced datasets

GBRT/LambdaMART is typically applied to (trained on) a **large** set of documents out of which **only a few are relevant**

Can we achieve faster and more effective training?

Selective Gradient Boosting

- uses a small percentage of **non-relevant documents** (e.g., 1%)
- chosen, at each iteration, **among the top ranked**
- Achieves >3% NDCG relative improvement!



Next ...

Efficiency/Effectiveness trade-offs in:

- Feature Selection
- Enhanced Learning Algorithms
- Approximate scoring
- Fast Scoring

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